



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

05/13/2002

SENNIGER, POWERS, LEAVITT & ROEDEL One Metropolitan Square 16th Floor St. Louis, MO 63102 EXAMINER

MCELWAIN, ELIZABETH F

ART UNIT CLASS-SUBCLASS

800-320300

1638

DATE MAILED: 05/13/2002

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/330,235 | 06/10/1999 | DEBBIE KNUTZON | 17256/01 | 9182 |

TITLE OF INVENTION: POLYUNSATURATED FATTY ACIDS IN PLANTS

| ı | APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE | l |
|---|----------------|--------------|-----------|-----------------|------------------|------------|---|
| | nonprovisional | NO | \$1280 | \$0 | \$1280 | 08/13/2002 | • |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED.</u> THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above. If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

B. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

☐ Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

| | _ | | | | | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|--|--|--|--|--|
| | Application No. | Applicant(s) | | | | | |
| Nadio a & Allamakilida | 09/330,235 | KNUTZON, DEBBIE | | | | | |
| Notice of Allowability | Examiner | Art Unit | | | | | |
| , | Elizabeth Mc Elwain | 1638 | | | | | |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. | | | | | | | |
| | The allowed claim(s) is/are 1-12. The drawings filed on 10 June 1999 are accepted by the Examiner. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). | | | | | | |
| ' 1. ☐ Certified copies of the priority documents have | e been received. | | | | | | |
| 2. Certified copies of the priority documents have | e been received in Application No | · | | | | | |
| 3. Copies of the certified copies of the priority do | cuments have been received in this | national stage application from the | | | | | |
| International Bureau (PCT Rule 17.2(a)). | | | | | | | |
| * Certifiéd copies not received: 5. Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application). | | | | | | | |
| (a) The translation of the foreign language provisional a | • • | | | | | | |
| 6. Acknowledgment is made of a claim for domestic priority un | nder 35 U.S.C. 99 120 and/or 121. | · | | | | | |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. | | | | | | | |
| 7. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. | | | | | | | |
| 8. CORRECTED DRAWINGS must be submitted. (a) including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) hereto or 2) to Paper No (b) including changes required by the proposed drawing correction filed, which has been approved by the Examiner. (c) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No | | | | | | | |
| Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the top margin (not to of each sheet. The drawings should be filed as a separate paper with a transmittal letter addressed to the Official Draftsperson. | | | | | | | |
| 9. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. | | | | | | | |
| Attachment(s) | | | | | | | |
| Notice of References Cited (PTO-892) Notice of Draftperson's Patent Drawing Review (PTO-948) Information Disclosure Statements (PTO-1449), Paper No Examiner's Comment Regarding Requirement for Deposit of Biological Material | 4☐ Interview Summa 6(」Examiner's Amel | al Patent Application (PTO-152) ary (PTO-413), Paper No ndment/Comment ement of Reasons for Allowance | | | | | |
| | | | | | | | |

The following is an examiner's statement of reasons for allowance: The claims are free of the prior art of record given that it was not taught or suggested in the prior art to transform a plant with a delta-6 desaturase gene alone, or in combination with a delta-12 or delta-15 desaturase in a method of producing stearidonic acid in a plant seed.

5

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

10

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Elizabeth F. McElwain whose telephone number is (703) 308-1794. The examiner can normally be reached on Monday through Friday from 8:00 AM to 4:30 PM.

15

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Amy Nelson, can be reached at (703) 306-3218. The fax phone number for this Group is (703) 308-4242. The faxing of such papers must conform with the notice published in the Official Gazette, 1096 OG 30 (November 15, 1989).

20

Any inquiry of a general nature or relating to the status of this application should be directed to the legal analyst, Gwendolyn Payne, whose telephone number is (703) 305-2475, or to the Group receptionist whose telephone number is (703) 308-0196.

Elizabeth F. McElwain, Ph.D. May 3, 2002

ELIZABETH F. McELWAIN
PRIMARY EXAMINER
GROUP 1800
ELLA 7 MELL

25

DEFICIENCIES CHECKLIST

SerialNumber:

09330235-01

Name:

plunkett

Date:

5/10/02

TASK DEFICIENCIES

Complete Forms

Complete Forms

The case is not abandoned.

Prepare for Issue

Oath/Declaration

A foreign priority is not claimed.

Friday, May 10, 2002

Page 1 of 1